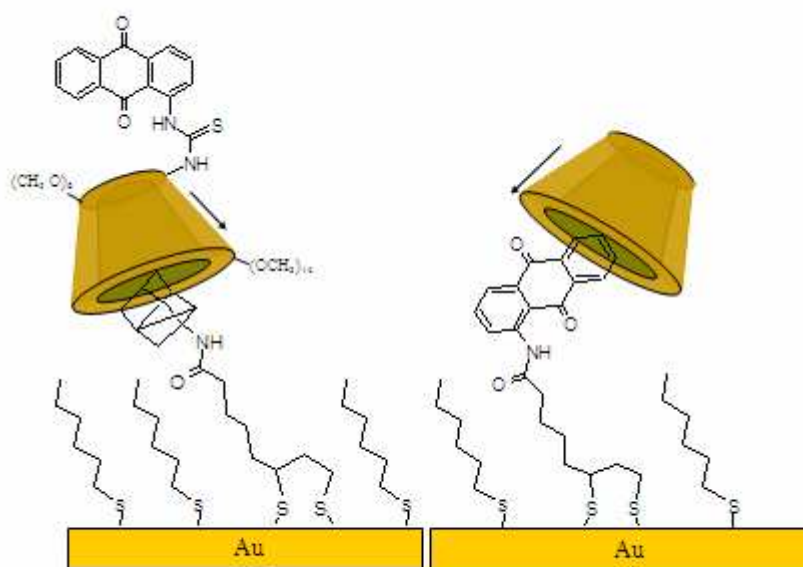


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Molecular Interactions of β -Cyclodextrins with Monolayers Containing Adamantane and Anthraquinone Guest Groups

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• Abstract. Complexing abilities of β -cyclodextrin towards anthraquinone derivatives in solution and immobilized on gold surfaces were studied by voltammetry. The association constant of β -cyclodextrin with 1-aminoanthraquinone in solution was found to be $1.03 \pm 0.05 \cdot 10^3 \text{M}^{-1}$ hence smaller than with anthraquinone. Capping the surface immobilized *N*-(1-anthraquinone) lipoamide with β -cyclodextrin lead to the decrease of heterogeneous electron transfer rate constant due to the change of the immediate environment around the electroactive group. To detect interactions of β -cyclodextrin with a nonelectroactive guest, *N*-(1-adamantane) lipoamide, the cyclodextrin was modified by attachment of an anthraquinone group as the electroactive marker. The appearance of the voltammetric peak corresponding to reduction of the anthraquinone side-group indicated binding of β -cyclodextrin to the *N*-(1-adamantane) lipoamide self-assembled in a monolayer on the gold electrode.

• keywords . cyclodextrin, adamantane, anthraquinone, self assembled monolayer, association constant

1. Introduction

Cyclodextrins, cyclic organic compounds obtained by enzymatic transformation of starch belong to one of the most intensively investigated classes of "host" molecules in supramolecular chemistry. The β -cyclodextrin (β -CD) is one of the most abundant natural oligomers and corresponds to the association of seven glucose units. The hydrophobic cavity and hydrophilic exterior makes the molecule an appropriate host for various guest molecules bound via non covalent bonds to form inclusion complexes [1, 2]. This inclusion ability of cyclodextrins has attracted considerable attention due to applications in drug delivery systems, sensing devices and for the construction of molecular machines, designed to perform tailored mechanical tasks [3-6].

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3 Adamantane, an apolar cage hydrocarbon, is one of guest molecules forming strong
4 inclusion complexes with β -CD, with equilibrium constant above 10^4 M^{-1} [7]. Complexation
5 between β -CD and adamantane and its derivatives has been exploited for molecular linking,
6 gene delivery and sensor applications [8, 9].
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12 Anthraquinone derivatives are the largest group of naturally occurring quinones.
13 Redox cycling of anthraquinone is supposed to play a important role in the activation of many
14 anthraquinone-based drugs under aerobic conditions [10]. The inclusion complex between
15 anthraquinone and β -CD in aqueous solution was reported by Jiang et al [11]. To our
16 knowledge there are only few report on the complexes of amino derivatives of anthraquinone
17 with β -CD and weaker interactions due to the presence of amino group were shown [12,13].
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27 In the present contribution, the complexation of β -cyclodextrin with
28 1-aminoanthraquinone (AAQ) in solution and with surface immobilized *N*-(1-anthraquinone)
29 lipoamide (AQ-Lip), were investigated. (Fig. 1a) Since the anthraquinone group is
30 electroactive, voltammetry can be used to follow the complexation reactions. The
31 electrochemical behavior of a non-electroactive guest: *N*-(1-adamantane) lipoamide (AD-Lip)
32 self-assembled in a monolayer on the gold electrode was also studied. (Fig. 1b). The
33 monolayer covered electrode was exposed to the solution of electroactive derivative of β -CD:
34 mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-methyl- β -cyclodextrin (AQ- β -CD) and
35 changes in the voltammograms are discussed in terms of the interaction between the β -CD
36 and the adamantane moiety.
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51 2. Experimental

52 *Chemicals*

53 All compounds used in this work for the syntheses were purchased from Aldrich and Fluka.

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55 ***N*-(1-anthraquinone) lipoamide (AQ-Lip)**. 770 mg (3.73 mM) lipoic acid was mixed with
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60 30ml of dichloromethane in the reaction vessel. The solution was protected from light, cooled

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3 to -1° C and kept under argon atmosphere. 1.1 equiv (4.11 mM 521 mg) of oxalil chloride was
4
5 added followed by addition of 1ml of DMF. The reaction mixture was stirred for 3 hours.

6
7
8 1-aminoanthraquinone (3.8 mM, 899 mg) in 50 ml of dichloromethane was dropped into this
9
10 mixture and the reaction was continued overnight, while the grey-green precipitate was
11
12 formed. The latter was filtered and the mixture was evaporated to dryness by means of rotary
13
14 evaporator. The title compound was isolated by column chromatography on silica gel with
15
16 dichloromethane as eluent; Rf =0.14. Yield was 342mg (0.83 mM) as orange solid, 22.3%.
17
18 MS ES+: AcONa m/z 434.1 [M+Na].
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20

21
22 ***N*-(1-adamantane) lipoamide (AD-Lip)**. 2.5 g of dicyclohexylcarbodiimide (DCC) was
23
24 dissolved in DMF (8mL). 2.2 g (9.8 mM) of lipoic acid was added to this solution under
25
26 magnetic stirring. Immediately, white precipitate was formed. The reaction mixture was
27
28 diluted with 50 mL of acetonitrile. To this suspension 1 equiv (1.86g) of 1-amino adamantane
29
30 hydrochloride was added followed by addition of 1mL of triethylamine and the reaction was
31
32 continued overnight. The precipitate, N,N'-dicyclohexylurea was filtered off and all solvents
33
34 were removed under reduced pressure. The solid residue was analysed by TLC on silica gel
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36 with 5% MeOH in dichloromethane as eluent, new compound was detected; Rf=0.16. This
37
38 product was purified by column chromatography on silica gel with 4:1 v/v chloroform:
39
40 acetone system as eluent; Rf=0.15. Yield was 1.6g (4.7 mM) as yellow solid, 48%. MS ES+
41
42 m/z 362.1 [M+Na].
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49 ***Mono*(6-deoxy-6-thioureido(1-antraquinono))-per(2,3,6-*O*-metylo)-β-cyclodextrin (AQ-
50
51 β-CD)**. 257 mg (0.246 mM) of mono(6-amino-6-deoxy)-per(2,3,6-*O*-metylo) β-cyclodextrin
52
53 was dissolved in dry pyridine (5 mL) and 1 equiv of 1-isothiocynatoanthraquinone dissolved
54
55 in the same solvent was added to this solution at room temperature. After 16 hours, pyridine
56
57 was evaporated using a rotary evaporator. Remaining traces of pyridine were removed by
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59 coevaporation with toluene. Solid residue was dissolved in dichlorometane and purified by
60

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3 column chromatography on silica gel with 5% MeOH in dichlorometane as eluent; Rf=0.2.

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5 Orange amorphous solid was obtained with yield 300 mg (0.229 mM) 92,9%. MS TOF

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7 ES+m/z 1719 [M+Na]. NMR revealed loss of symmetry of the macrocycle resulting in signal

8
9 broadening ^1H NMR (200MHz, CD_2Cl_2) δ = 8.5-7.56 (5m, 7H) anthraquinone, 5.33-5.51 (m,

10
11 dxd, m,7H) H^{I} , $\text{H}^{\text{II-VII}}$, 4-3 (m remaining H): ^{13}C (50,28 MHz CD_2Cl_2) δ = 185.88 C=O,

12
13 183.03 CS, 134-120 Anthraquinone aromatic C, 99.48-99.075 C-1, 82.9-78.72 C-4, 72.39-

14
15 70.68 C-2, C-3, C-5, 60.03-58.31 C3-OMe, 54.92-52.76 C2-OMe, C6-OMe

16 17 18 19 20 21 *Electrochemistry*

22 Electrochemical measurements were performed using a PGSTAT Autolab (Eco Chemie BV,

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24 Utrecht, Netherlands). All electrochemical experiments were done in a three-electrode

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26 arrangement with silver/silver chloride (Ag/AgCl) electrode (saturated solution of KCl) as the

27
28 reference, platinum foil as the counter and Au electrode (BAS, 2 mm diameter) as the

29
30 working electrode. The working electrode was polished mechanically with 1.0, 0.3 and 0.05

31
32 μm alumina powder on a Buehler polishing cloth. Prior to measurements, buffer solutions

33
34 were purged with purified nitrogen for 30 min and all experiments were performed at room

35
36 temperature. Milli-Q ultra-pure water (resistivity 18.2 $\text{M}\Omega/\text{cm}$) was used.

37 38 39 40 41 42 *Preparation of the modified gold electrodes*

43 The gold electrode was polished to mirror finish with 0.05 μm alumina powder and

44
45 electrochemically cleaned by cycling in the range of potentials from -0.2V to 1.6V in 0.5M

46
47 H_2SO_4 solution until the typical cyclic voltammogram of a clean gold surface was obtained

48
49 [14]. Modification of the gold electrodes was carried out by self-assembly from oxygen-free

50
51 0.1mM solutions of AQ-Lip and AD-Lip in DMF for 25 minutes. Next, the electrodes were

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53 immersed in 0.1mM solution of hexanethiol in DMF for 24 hours. The modified electrode

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55 was then washed with Milli-Q ultra-pure water.

3. Results and discussion

β -cyclodextrin complex formation with 1-aminoanthraquinone in solution

In phosphate buffer with 40 percent DMF (pH 9.1), the decrease of anodic and cathodic peaks of AAQ were observed upon addition of β -CD to the AAQ solution due to the smaller diffusion coefficient of the β -CD complex formed compared to the diffusion coefficient of free guest. Dependence of reduction peak current of AAQ on the ratio of β -CD to AAQ concentrations is shown in Fig. 2.

The formation constant of 1:1 cyclodextrin complex was calculated using Osa equation [15].

$$D_{obs} = \frac{(D_f - D_{obs})}{K_s \cdot [L]} + D_c,$$

where D_{obs} is the observed diffusion coefficient, and D_f and D_c are diffusion coefficients of free guest and inclusion complex, respectively. K_s is formation constant and $[L]$ is the concentration of the ligand. D_{obs} and D_f can be calculated from the experiments. The value of K_s can be obtained from the slope of the linear plot of D_{obs} vs. $(D_f - D_{obs})/[L]$. The formation constant was $1.03 \pm 0.05 \cdot 10^3 \text{M}^{-1}$.

The ratio of association constants of the reduced and oxidized forms of AAQ are described by equation [3]:

$$\frac{K_{S1}}{K_{S2}} = e^{[-F(E'_F - E'_C)/RT]},$$

where, K_{S1} and K_{S2} are the association constants of the oxidized and reduced forms, respectively, and E'_F and E'_C are the formal potentials of free, and complexed forms, respectively. While the peak-to-peak separation increased upon addition of β -cyclodextrin, the formal potential did not change. In a 1:1 complex this indicated similar binding strength of β -cyclodextrin with the oxidized and reduced forms of AAQ.

The heterogeneous standard rate constant was calculated from equation [16]:

$$\Psi = \left(\frac{D_{ox}}{D_{red}} \right)^{\alpha/2} \frac{k_s (RT)^{1/2}}{(\pi n F \nu D_{ox})^{1/2}}$$

Where Ψ is a function fixed from product of electron number(n) and difference between anodic and cathodic peaks potential ($E_{ox} - E_{red}$). The dependence $\Psi = n(E_{ox} - E_{red})$ is tabulated. D_{ox} and D_{red} are diffusion coefficients of anodic and cathodic processes, α is a transfer coefficient, k_s is heterogeneous rate constants, ν , R , T , F , π are their usual meanings

The rate constant of the AAQ electrode process decreases upon addition of β -cyclodextrin. The values of standard rate constants are $2.5 \cdot 10^{-3}$ cm/s and $0.5 \cdot 10^{-3}$ cm/s for AAQ and AAQ: β -CD system, respectively.

The complexation of AAQ by β -CD was confirmed using UV-Vis spectrometry. The addition of β -CD to the solution of AAQ resulted in the increase of AAQ absorbance

β -cyclodextrin complex formation with AQ-Lip immobilized in a mixed monolayer at gold electrode

Two-component monolayers containing AQ-Lip and hexanethiol showed a pair of reversible redox peaks; its anodic and cathodic peak potential where, respectively, -0.637V and -0.654V at 0.05 V/s scan rate. The cathodic and anodic peaks were almost symmetric and the formal potential is -0.645V (Fig. 3). The dependence of peak current, i_p on scan rate, ν is linear and i_p is related to surface concentration of the electroactive component of the monolayer, Γ according to equation [17]:

$$i_p = \frac{n^2 \cdot F^2 \cdot \nu \cdot A \cdot \Gamma}{4 \cdot R \cdot T}$$

The surface concentration and molecular area of AQ-Lip modified electrodes calculated based on this equation were $7.73 \pm 0.39 \cdot 10^{-11}$ mol/cm² and 217 ± 13 Å².

Electrochemical desorption experiments were performed in 0.1M NaOH aqueous solution and the surface concentration of the thiolated molecules (both components of the monolayer) was found to be $3.50 \pm 0.17 \cdot 10^{-10}$ mol/cm². The ratio of surface concentrations can

be calculated based on these two measurements. For the two-component monolayer AQ-Lip:hexanethiol was 1:4.

The apparent rate constant, k_{app} was obtained using equation [18]:

$$i = k_{app} Q \exp(-k_{app} t),$$

where Q is the charge associated with converting the redox centers from one oxidation state to another.

The plot of $\ln(i)$ vs. time is linear. The experimental Tafel plot was fitted to theoretical line of the Butler-Volmer equations for low overpotentials region [19]:

$$k_{ox} = k_{ET} \exp\left[-\frac{\lambda - 2e_0\eta}{4k_B T}\right]$$

$$k_{red} = k_{ET} \exp\left[-\frac{\lambda + 2e_0\eta}{4k_B T}\right]$$

where k_{ET} is electron transfer rate at zero overpotential, k_{ox} and k_{red} are the apparent rate constants for anodic and cathodic processes, λ is reorganization energy, e_0 and k_B are static dielectric and Boltzmann constants, and η is the applied overpotential .

The dependencies of $\ln k_{app}$ vs η for mixed AQ-Lip – hexanethiol monolayer in the presence and absence of β -CD are shown in Fig. 4. The value of standard rate constant was found to be: $44.1 \pm 1.7 \text{ s}^{-1}$ without β -CD, while in solutions containing 0.1mM β -CD it decreased to $31.2 \pm 0.8 \text{ s}^{-1}$. In the presence of larger amounts of DMF, the rate constants decreased probably reflecting the interaction with the solvent and a more complicated mechanism. Practically, lack of differences upon addition of β -CD to solutions containing DMF may reflect weaker affinity of the β -CD cavity to AQ-Lip in solutions containing DMF.

In case of adamantane - β -cyclodextrin complexes both the host and the guest are nonelectroactive and a different method should be used for monitoring the complexation reaction. Our approach was to “decorate” β -CD with a side – group which is electroactive. Therefore, β -cyclodextrin with an anthraquinone side - group was synthesized and its

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3 electrochemical properties were studied in solution (Fig. 5). The voltammogram showed a
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5 cathodic peak at -0.617V. The plot of the reduction peak current vs. square root of scan rate is
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7 shown in Fig. 6. Positive deviations from linearity at larger scan rates, can be explained by the
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9 contribution of adsorption of AQ- β -CD molecules on the electrode surface.
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12 Since AD-Lip is nonelectroactive, the surface concentration of modified electrodes
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14 was calculated from electrochemical desorption of mixed AD-Lip - hexanethiol monolayer in
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16 0.1 M NaOH. The surface concentration of the thiolated molecules in the mixed monolayer
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18 was $4.55 \pm 0.9 \cdot 10^{-10}$ mol/cm².
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22 In phosphate buffer solution containing 25 percent DMF (pH 8.9), the interaction
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24 between mixed AD-Lip – hexanethiol monolayer modified electrode and AQ- β -CD was
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26 easily detected. Fig.7 - curve a shows the CV curves of bare gold electrode. Curve b was
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28 recorded using the electrode covered with mixed AD-Lip – hexanethiol modified gold
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30 electrode and curve c shows, for comparison, the behavior of the AQ- β -CD system in single-
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32 component hexanethiol monolayer. Interaction between bare gold electrode and AQ- β -CD
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34 leads to the appearance of a cathodic peak, at -0.777V. The hexanethiol modified gold
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36 electrode exposed to AQ- β -CD showed a cathodic peak at potentials – 0.628V. Finally, the
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38 two-component monolayer containing both hexanethiol and Ad-Lip immersed in the AQ- β -
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40 CD solution leads to the appearance of two peaks. Thus, AQ- β -CD can affect AD-Lip
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42 monolayer in two ways. Firstly, the molecule can be incorporated between other molecules of
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44 the monolayer and interact with the electrode surface. This results in the formation of a peak
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46 at potential ca. -0.628V. In addition, the molecule interacts directly with the AD-Lip
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48 component of the monolayer giving the other peak at ca. -0.735V.
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51 The peak at -0.735V remains when the electrode is replaced to a clean supporting electrolyte
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53 solution and proves the specific interaction of the cyclodextrin with the AD-Lip component of
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55 the monolayer.
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4. Conclusion

Interaction of β -cyclodextrin with 1-aminoanthraquinone in the solution and with surface immobilized *N*-(1-anthraquinone) lipoamide slows down the rate of anthraquinone group reduction. In case of solution resident complex, the association constant can be easily evaluated based on the decrease of diffusion coefficient of the electroactive guest due to complexation. The association constant with 1-aminoanthraquinone was $1.03 \pm 0.05 \cdot 10^3 \text{M}^{-1}$ hence smaller than that of anthraquinone equal to $2.86 \cdot 10^3 \text{M}^{-1}$ [20].

The decrease of the electron transfer rate constants of the electroactive anthraquinone moiety upon complexation can be ascribed to the change of its immediate environment caused by the hydrophobicity of the β -CD cavity.

Surface immobilized non-electroactive guest *N*-(1-adamantane) lipoamide was also found to bind β -CD from the solution. The monolayer covered electrode was exposed to the solution of electroactive derivative of β -CD: mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-methyl- β -cyclodextrin (AQ- β -CD) and then transferred to a pure supporting electrolyte solution. The cyclodextrin was modified by attachment of the anthraquinone group as the electroactive marker. The appearance of the voltammetric peak corresponding to the reduction of the anthraquinone side-group indicated binding of β -cyclodextrin to the *N*-(1-adamantane) lipoamide monolayer, since it remained upon replacing the modified electrode to the solution of pure supporting electrolyte.

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6 Figure 1. Structures of AQ-Lip(a) and AD-Lip(b).

7 Figure 2. Dependence of reduction peak current of 1-aminoanthraquinone on the ratio
8 of concentrations of cyclodextrin to 1-aminoanthraquinone.

9 Figure 3. Cyclic voltammogram of mixed AQ-Lip-hexanethiol modified gold
10 electrode performed in phosphate buffer with 40% addition of DMF. Scan rate 50
11 mV/s.

12 Figure 4. Tafel plot for mixed AQ-Lip – hexanethiol monolayer in the presence and
13 absence of β -CD recorded in 0.1 M phosphate buffer without DMF, pH 9.1.

14 Figure 5. Cyclic voltammogram of AQ- β -CD in phosphate buffer solution with 25%
15 DMF. Scan rate 50 mV/s.

16 Figure 6. The dependence of cathodic peak current on scan rate for AQ- β -CD
17 solution. Supporting electrolyte: 0.1M phosphate buffer + 25% DMF, pH 8.9.

18 Figure 7. Cyclic voltammograms recorded using a (a) gold electrode and electrode
19 modified by: (b) mixture of *N*-(1-adamantane) lipoamide and hexanethiol, (c)
20 hexanethiol, in phosphate buffer containing 25% DMF, pH 8.9. All electrodes were
21 kept in 0.1 mM solution of mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-
22 methyl- β -cyclodextrin for two hours.
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1. Introduction

Cyclodextrins, cyclic organic compounds obtained by enzymatic transformation of starch belong to one of the most intensively investigated classes of "host" molecules in supramolecular chemistry. The β -cyclodextrin (β -CD) is one of the most abundant natural oligomers and corresponds to the association of seven glucose units. The hydrophobic cavity and hydrophilic exterior makes the molecule an appropriate host for various guest molecules bound via non covalent bonds to form inclusion complexes [1, 2]. This inclusion ability of cyclodextrins has attracted considerable attention due to applications in drug delivery systems, sensing devices and for the construction of molecular machines, designed to perform tailored mechanical tasks [3-6].

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13 Redox cycling of anthraquinone is supposed to play a important role in the activation of many
14 anthraquinone-based drugs under aerobic conditions [10]. The inclusion complex between
15 anthraquinone and β -CD in aqueous solution was reported by Jiang et al [11]. To our
16 knowledge there are only few report on the complexes of amino derivatives of anthraquinone
17 with β -CD and weaker interactions due to the presence of amino group were shown [12,13].
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27 In the present contribution, the complexation of β -cyclodextrin with
28 1-aminoanthraquinone (AAQ) in solution and with surface immobilized *N*-(1-anthraquinone)
29 lipoamide (AQ-Lip), were investigated. (Fig. 1a) Since the anthraquinone group is
30 electroactive, voltammetry can be used to follow the complexation reactions. The
31 electrochemical behavior of a non-electroactive guest: *N*-(1-adamantane) lipoamide (AD-Lip)
32 self-assembled in a monolayer on the gold electrode was also studied. (Fig. 1b). The
33 monolayer covered electrode was exposed to the solution of electroactive derivative of β -CD:
34 mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-methyl- β -cyclodextrin (AQ- β -CD) and
35 changes in the voltammograms are discussed in terms of the interaction between the β -CD
36 and the adamantane moiety.
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51 2. Experimental

52 *Chemicals*

53 All compounds used in this work for the syntheses were purchased from Aldrich and Fluka.

54 ***N*-(1-anthraquinone) lipoamide (AQ-Lip)**. 770 mg (3.73 mM) lipoic acid was mixed with
55 30ml of dichloromethane in the reaction vessel. The solution was protected from light, cooled
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3 to -1° C and kept under argon atmosphere. 1.1 equiv (4.11 mM 521 mg) of oxalil chloride was
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5 added followed by addition of 1ml of DMF. The reaction mixture was stirred for 3 hours.

6
7
8 1-aminoanthraquinone (3.8 mM, 899 mg) in 50 ml of dichloromethane was dropped into this
9
10 mixture and the reaction was continued overnight, while the grey-green precipitate was
11
12 formed. The latter was filtered and the mixture was evaporated to dryness by means of rotary
13
14 evaporator. The title compound was isolated by column chromatography on silica gel with
15
16 dichloromethane as eluent; Rf =0.14. Yield was 342mg (0.83 mM) as orange solid, 22.3%.
17
18 MS ES+: AcONa m/z 434.1 [M+Na].
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20

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22 ***N*-(1-adamantane) lipoamide (AD-Lip)**. 2.5 g of dicyclohexylcarbodiimide (DCC) was
23
24 dissolved in DMF (8mL). 2.2 g (9.8 mM) of lipoic acid was added to this solution under
25
26 magnetic stirring. Immediately, white precipitate was formed. The reaction mixture was
27
28 diluted with 50 mL of acetonitrile. To this suspension 1 equiv (1.86g) of 1-amino adamantane
29
30 hydrochloride was added followed by addition of 1mL of triethylamine and the reaction was
31
32 continued overnight. The precipitate, N,N'-dicyclohexylurea was filtered off and all solvents
33
34 were removed under reduced pressure. The solid residue was analysed by TLC on silica gel
35
36 with 5% MeOH in dichloromethane as eluent, new compound was detected; Rf=0.16. This
37
38 product was purified by column chromatography on silica gel with 4:1 v/v chloroform:
39
40 acetone system as eluent; Rf=0.15. Yield was 1.6g (4.7 mM) as yellow solid, 48%. MS ES+
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42 m/z 362.1 [M+Na].
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49 ***Mono*(6-deoxy-6-thioureido(1-antraquinono))-per(2,3,6-*O*-metylo)-β-cyclodextrin (AQ-**
50
51 **β-CD)**. 257 mg (0.246 mM) of mono(6-amino-6-deoxy)-per(2,3,6-*O*-metylo) β-cyclodextrin
52
53 was dissolved in dry pyridine (5 mL) and 1 equiv of 1-isothiocynatoanthraquinone dissolved
54
55 in the same solvent was added to this solution at room temperature. After 16 hours, pyridine
56
57 was evaporated using a rotary evaporator. Remaining traces of pyridine were removed by
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59 coevaporation with toluene. Solid residue was dissolved in dichlorometane and purified by
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3 column chromatography on silica gel with 5% MeOH in dichlorometane as eluent; Rf=0.2.

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5 Orange amorphous solid was obtained with yield 300 mg (0.229 mM) 92,9%. MS TOF

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7 ES+m/z 1719 [M+Na]. NMR revealed loss of symmetry of the macrocycle resulting in signal

8
9 broadening ^1H NMR (200MHz, CD_2Cl_2) δ = 8.5-7.56 (5m, 7H) anthraquinone, 5.33-5.51 (m,

10
11 dx, m, 7H) H^{I} , $\text{H}^{\text{II-VII}}$, 4-3 (m remaining H): ^{13}C (50,28 MHz CD_2Cl_2) δ = 185.88 C=O,

12
13 183.03 CS, 134-120 Anthraquinone aromatic C, 99.48-99.075 C-1, 82.9-78.72 C-4, 72.39-

14
15 70.68 C-2, C-3, C-5, 60.03-58.31 C3-OMe, 54.92-52.76 C2-OMe, C6-OMe

16 17 18 19 20 21 *Electrochemistry*

22 Electrochemical measurements were performed using a PGSTAT Autolab (Eco Chemie BV,

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24 Utrecht, Netherlands). All electrochemical experiments were done in a three-electrode

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26 arrangement with silver/silver chloride (Ag/AgCl) electrode (saturated solution of KCl) as the

27
28 reference, platinum foil as the counter and Au electrode (BAS, 2 mm diameter) as the

29
30 working electrode. The working electrode was polished mechanically with 1.0, 0.3 and 0.05

31
32 μm alumina powder on a Buehler polishing cloth. Prior to measurements, buffer solutions

33
34 were purged with purified nitrogen for 30 min and all experiments were performed at room

35
36 temperature. Milli-Q ultra-pure water (resistivity 18.2 $\text{M}\Omega/\text{cm}$) was used.

37 38 39 40 41 42 *Preparation of the modified gold electrodes*

43 The gold electrode was polished to mirror finish with 0.05 μm alumina powder and

44
45 electrochemically cleaned by cycling in the range of potentials from -0.2V to 1.6V in 0.5M

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47 H_2SO_4 solution until the typical cyclic voltammogram of a clean gold surface was obtained

48
49 [14]. Modification of the gold electrodes was carried out by self-assembly from oxygen-free

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51 0.1mM solutions of AQ-Lip and AD-Lip in DMF for 25 minutes. Next, the electrodes were

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53 immersed in 0.1mM solution of hexanethiol in DMF for 24 hours. The modified electrode

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55 was then washed with Milli-Q ultra-pure water.

3. Results and discussion

β-cyclodextrin complex formation with 1-aminoanthraquinone in solution

In phosphate buffer with 40 percent DMF (pH 9.1), the decrease of anodic and cathodic peaks of AAQ were observed upon addition of β-CD to the AAQ solution due to the smaller diffusion coefficient of the β-CD complex formed compared to the diffusion coefficient of free guest. Dependence of reduction peak current of AAQ on the ratio of β-CD to AAQ concentrations is shown in Fig. 2.

The formation constant of 1:1 cyclodextrin complex was calculated using Osa equation [15].

$$D_{obs} = \frac{(D_f - D_{obs})}{K_s \cdot [L]} + D_c,$$

where D_{obs} is the observed diffusion coefficient, and D_f and D_c are diffusion coefficients of free guest and inclusion complex, respectively. K_s is formation constant and $[L]$ is the concentration of the ligand. D_{obs} and D_f can be calculated from the experiments. The value of K_s can be obtained from the slope of the linear plot of D_{obs} vs. $(D_f - D_{obs})/[L]$. The formation constant was $1.03 \pm 0.05 \cdot 10^3 \text{M}^{-1}$.

The ratio of association constants of the reduced and oxidized forms of AAQ are described by equation [3]:

$$\frac{K_{S1}}{K_{S2}} = e^{[-F(E'_F - E'_C)/RT]},$$

where, K_{S1} and K_{S2} are the association constants of the oxidized and reduced forms, respectively, and E'_F and E'_C are the formal potentials of free, and complexed forms, respectively. While the peak-to-peak separation increased upon addition of β-cyclodextrin, the formal potential did not change. In a 1:1 complex this indicated similar binding strength of β-cyclodextrin with the oxidized and reduced forms of AAQ.

The heterogeneous standard rate constant was calculated from equation [16]:

$$\Psi = \left(\frac{D_{ox}}{D_{red}} \right)^{\alpha/2} \frac{k_s (RT)^{1/2}}{(\pi n F \nu D_{ox})^{1/2}}$$

Where Ψ is a function fixed from product of electron number(n) and difference between anodic and cathodic peaks potential ($E_{ox} - E_{red}$). The dependence $\Psi = n(E_{ox} - E_{red})$ is tabulated. D_{ox} and D_{red} are diffusion coefficients of anodic and cathodic processes, α is a transfer coefficient, k_s is heterogeneous rate constants, ν , R , T , F , π are their usual meanings

The rate constant of the AAQ electrode process decreases upon addition of β -cyclodextrin. The values of standard rate constants are $2.5 \cdot 10^{-3}$ cm/s and $0.5 \cdot 10^{-3}$ cm/s for AAQ and AAQ: β -CD system, respectively.

The complexation of AAQ by β -CD was confirmed using UV-Vis spectrometry. The addition of β -CD to the solution of AAQ resulted in the increase of AAQ absorbance

β -cyclodextrin complex formation with AQ-Lip immobilized in a mixed monolayer at gold electrode

Two-component monolayers containing AQ-Lip and hexanethiol showed a pair of reversible redox peaks; its anodic and cathodic peak potential where, respectively, -0.637V and -0.654V at 0.05 V/s scan rate. The cathodic and anodic peaks were almost symmetric and the formal potential is -0.645V (Fig. 3). The dependence of peak current, i_p on scan rate, ν is linear and i_p is related to surface concentration of the electroactive component of the monolayer, Γ according to equation [17]:

$$i_p = \frac{n^2 \cdot F^2 \cdot \nu \cdot A \cdot \Gamma}{4 \cdot R \cdot T}$$

The surface concentration and molecular area of AQ-Lip modified electrodes calculated based on this equation were $7.73 \pm 0.39 \cdot 10^{-11}$ mol/cm² and 217 ± 13 Å².

Electrochemical desorption experiments were performed in 0.1M NaOH aqueous solution and the surface concentration of the thiolated molecules (both components of the monolayer) was found to be $3.50 \pm 0.17 \cdot 10^{-10}$ mol/cm². The ratio of surface concentrations can

be calculated based on these two measurements. For the two-component monolayer AQ-Lip:hexanethiol was 1:4.

The apparent rate constant, k_{app} was obtained using equation [18]:

$$i = k_{app} Q \exp(-k_{app} t),$$

where Q is the charge associated with converting the redox centers from one oxidation state to another.

The plot of $\ln(i)$ vs. time is linear. The experimental Tafel plot was fitted to theoretical line of the Butler-Volmer equations for low overpotentials region [19]:

$$k_{ox} = k_{ET} \exp\left[-\frac{\lambda - 2e_0\eta}{4k_B T}\right]$$

$$k_{red} = k_{ET} \exp\left[-\frac{\lambda + 2e_0\eta}{4k_B T}\right]$$

where k_{ET} is electron transfer rate at zero overpotential, k_{ox} and k_{red} are the apparent rate constants for anodic and cathodic processes, λ is reorganization energy, e_0 and k_B are static dielectric and Boltzmann constants, and η is the applied overpotential .

The dependencies of $\ln k_{app}$ vs η for mixed AQ-Lip – hexanethiol monolayer in the presence and absence of β -CD are shown in Fig. 4. The value of standard rate constant was found to be: $44.1 \pm 1.7 \text{ s}^{-1}$ without β -CD, while in solutions containing 0.1mM β -CD it decreased to $31.2 \pm 0.8 \text{ s}^{-1}$. In the presence of larger amounts of DMF, the rate constants decreased probably reflecting the interaction with the solvent and a more complicated mechanism. Practically, lack of differences upon addition of β -CD to solutions containing DMF may reflect weaker affinity of the β -CD cavity to AQ-Lip in solutions containing DMF.

In case of adamantane - β -cyclodextrin complexes both the host and the guest are nonelectroactive and a different method should be used for monitoring the complexation reaction. Our approach was to “decorate” β -CD with a side – group which is electroactive. Therefore, β -cyclodextrin with an anthraquinone side - group was synthesized and its

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3 electrochemical properties were studied in solution (Fig. 5). The voltammogram showed a
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5 cathodic peak at -0.617V. The plot of the reduction peak current vs. square root of scan rate is
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7 shown in Fig. 6. Positive deviations from linearity at larger scan rates, can be explained by the
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9 contribution of adsorption of AQ- β -CD molecules on the electrode surface.
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12 Since AD-Lip is nonelectroactive, the surface concentration of modified electrodes
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14 was calculated from electrochemical desorption of mixed AD-Lip - hexanethiol monolayer in
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16 0.1 M NaOH. The surface concentration of the thiolated molecules in the mixed monolayer
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18 was $4.55 \pm 0.9 \cdot 10^{-10}$ mol/cm².
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22 In phosphate buffer solution containing 25 percent DMF (pH 8.9), the interaction
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24 between mixed AD-Lip – hexanethiol monolayer modified electrode and AQ- β -CD was
25
26 easily detected. Fig.7 - curve a shows the CV curves of bare gold electrode. Curve b was
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28 recorded using the electrode covered with mixed AD-Lip – hexanethiol modified gold
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30 electrode and curve c shows, for comparison, the behavior of the AQ- β -CD system in single-
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32 component hexanethiol monolayer. Interaction between bare gold electrode and AQ- β -CD
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34 leads to the appearance of a cathodic peak, at -0.777V. The hexanethiol modified gold
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36 electrode exposed to AQ- β -CD showed a cathodic peak at potentials – 0.628V. Finally, the
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38 two-component monolayer containing both hexanethiol and Ad-Lip immersed in the AQ- β -
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40 CD solution leads to the appearance of two peaks. Thus, AQ- β -CD can affect AD-Lip
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42 monolayer in two ways. Firstly, the molecule can be incorporated between other molecules of
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44 the monolayer and interact with the electrode surface. This results in the formation of a peak
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46 at potential ca. -0.628V. In addition, the molecule interacts directly with the AD-Lip
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48 component of the monolayer giving the other peak at ca. -0.735V.
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51 The peak at -0.735V remains when the electrode is replaced to a clean supporting electrolyte
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53 solution and proves the specific interaction of the cyclodextrin with the AD-Lip component of
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55 the monolayer.
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4. Conclusion

Interaction of β -cyclodextrin with 1-aminoanthraquinone in the solution and with surface immobilized *N*-(1-anthraquinone) lipoamide slows down the rate of anthraquinone group reduction. In case of solution resident complex, the association constant can be easily evaluated based on the decrease of diffusion coefficient of the electroactive guest due to complexation. The association constant with 1-aminoanthraquinone was $1.03 \pm 0.05 \cdot 10^3 \text{M}^{-1}$ hence smaller than that of anthraquinone equal to $2.86 \cdot 10^3 \text{M}^{-1}$ [20].

The decrease of the electron transfer rate constants of the electroactive anthraquinone moiety upon complexation can be ascribed to the change of its immediate environment caused by the hydrophobicity of the β -CD cavity.

Surface immobilized non-electroactive guest *N*-(1-adamantane) lipoamide was also found to bind β -CD from the solution. The monolayer covered electrode was exposed to the solution of electroactive derivative of β -CD: mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-methyl- β -cyclodextrin (AQ- β -CD) and then transferred to a pure supporting electrolyte solution. The cyclodextrin was modified by attachment of the anthraquinone group as the electroactive marker. The appearance of the voltammetric peak corresponding to the reduction of the anthraquinone side-group indicated binding of β -cyclodextrin to the *N*-(1-adamantane) lipoamide monolayer, since it remained upon replacing the modified electrode to the solution of pure supporting electrolyte.

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6 Figure 1. Structures of AQ-Lip(a) and AD-Lip(b).

7 Figure 2. Dependence of reduction peak current of 1-aminoanthraquinone on the ratio
8 of concentrations of cyclodextrin to 1-aminoanthraquinone.

9 Figure 3. Cyclic voltammogram of mixed AQ-Lip-hexanethiol modified gold
10 electrode performed in phosphate buffer with 40% addition of DMF. Scan rate: 50
11 mV/s.

12 Figure 4. Tafel plot for mixed AQ-Lip – hexanethiol monolayer in the presence and
13 absence of β -CD recorded in 0.1 M phosphate buffer without DMF, pH 9.1.

14 Figure 5. Cyclic voltammogram of AQ- β -CD in phosphate buffer solution with 25%
15 DMF. Scan rate 50 mV/s.

16 Figure 6. The dependence of cathodic peak current on scan rate for AQ- β -CD
17 solution. Supporting electrolyte: 0.1M phosphate buffer + 25% DMF, pH 8.9.

18 Figure 7. Cyclic voltammograms recorded using (a) gold electrode and electrode
19 modified by: (b) mixture of *N*-(1-adamantane) lipoamide and hexanethiol, (c)
20 hexanethiol, in phosphate buffer containing 25% DMF, pH 8.9. All electrodes were
21 kept in 0.1 mM solution of mono-6-deoxy-6-thioureido-(1-anthraquinone)-per-O-
22 methyl- β -cyclodextrin for two hours.
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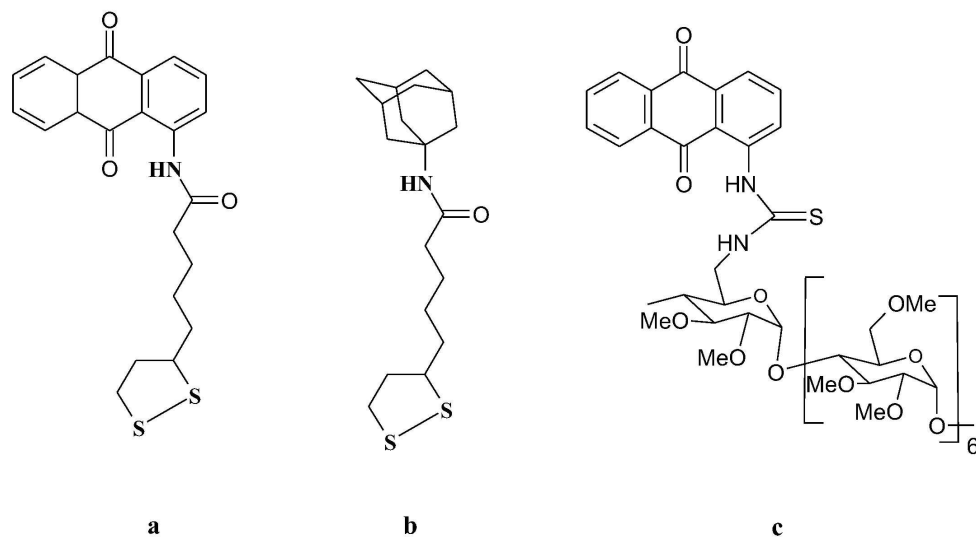


Figure 1. Structures of AQ-Lip (a), AD-Lip (b) and AQ- β -CD (c)
187x102mm (600 x 600 DPI)

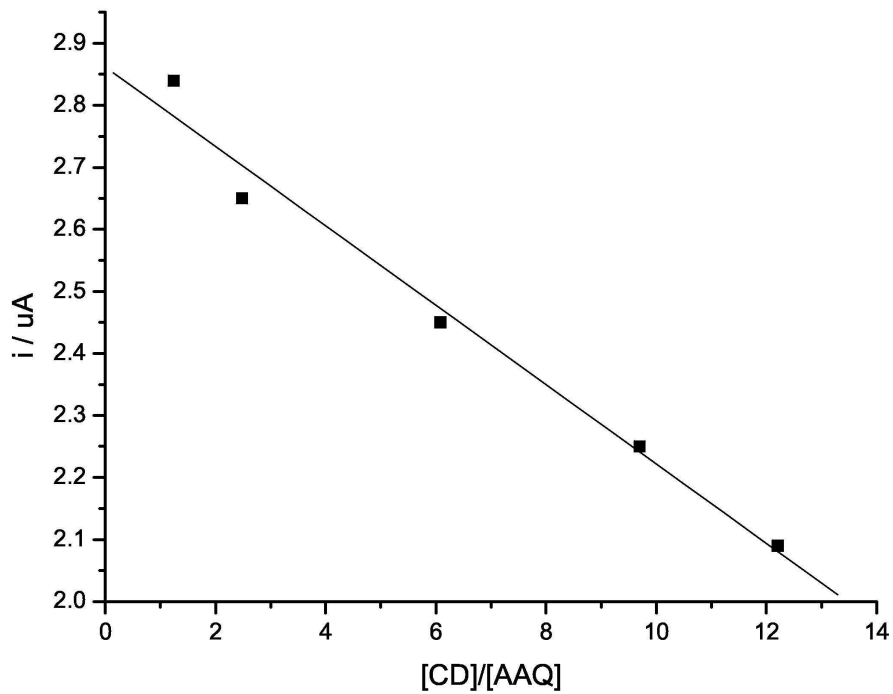


Figure 2. Dependence of reduction peak current of 1-aminoanthraquinone on the ratio of concentrations of cyclodextrin to 1-aminoanthraquinone.
99x79mm (600 x 600 DPI)

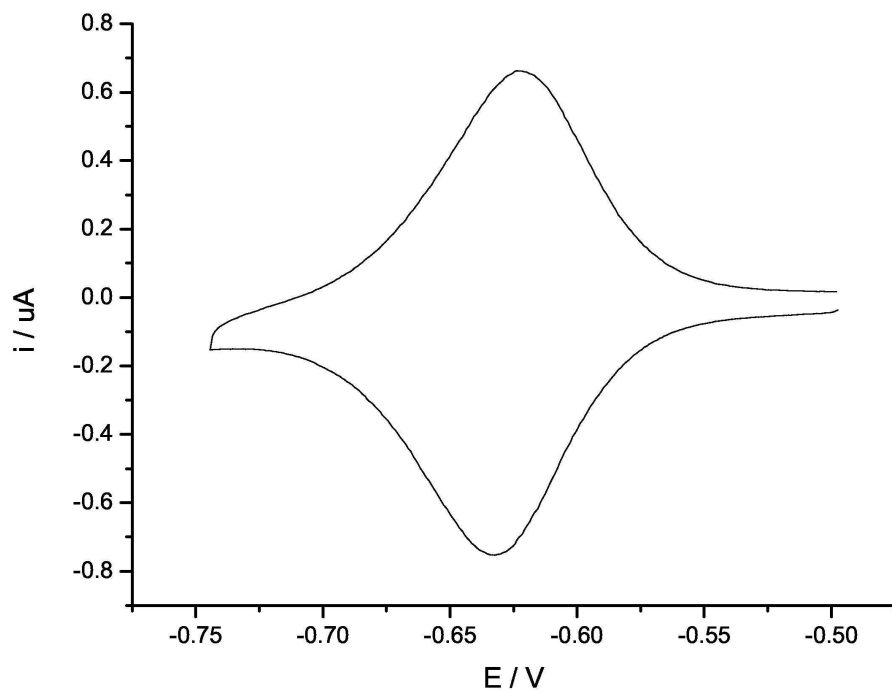


Figure 3. Cyclic voltammogram of mixed AQ-Lip-hexanethiol modified gold electrode performed in phosphate buffer with 40% addition of DMF. Scan rate: 50 mV/s.
100x80mm (600 x 600 DPI)

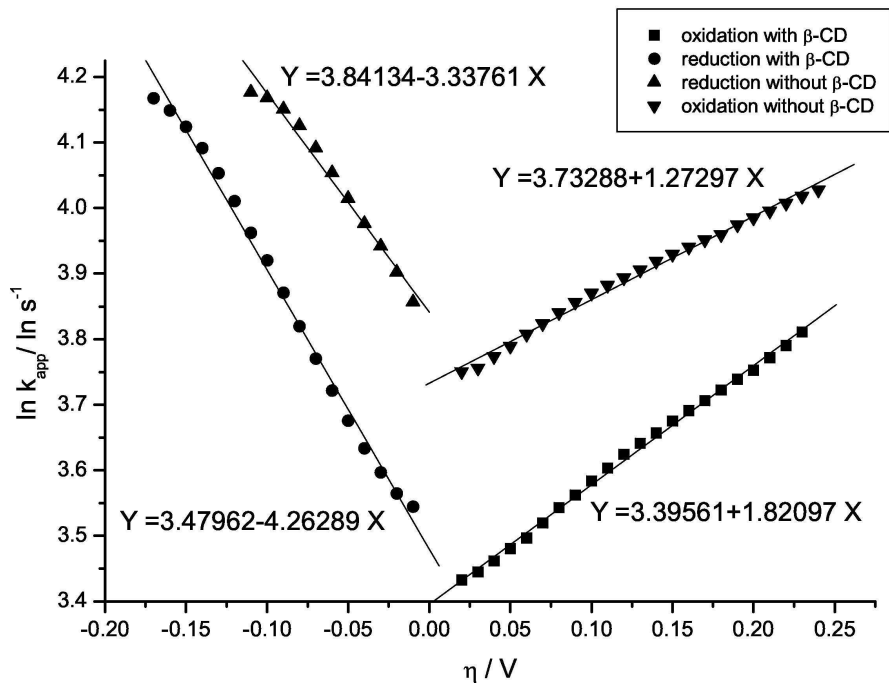
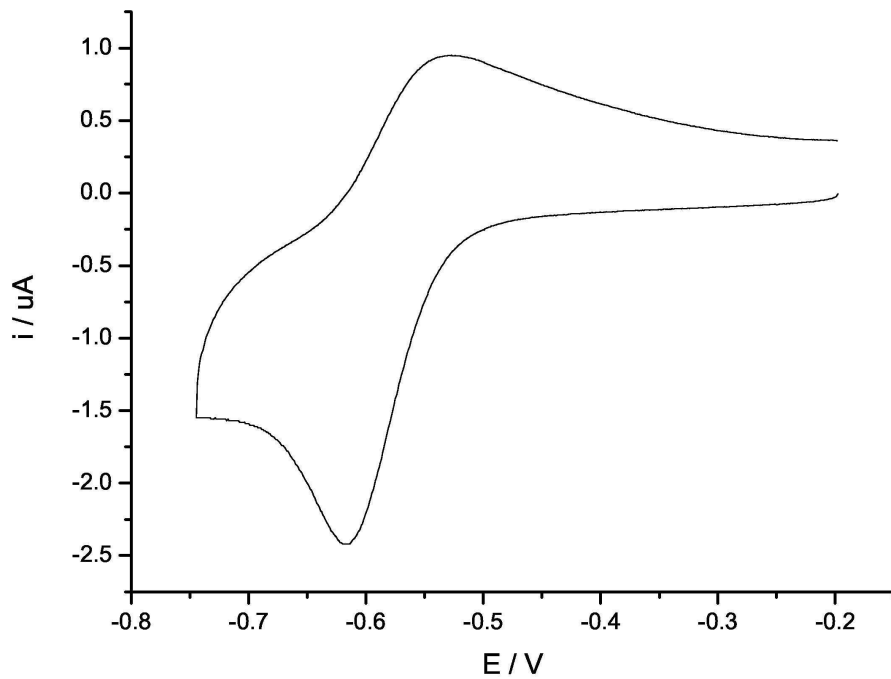


Figure 4. Tafel plot for mixed AQ-Lip - hexanethiol monolayer in the presence and absence of β -CD recorded in 0.1 M phosphate buffer without DMF, pH 9.1.
107x86mm (600 x 600 DPI)



100x79mm (600 x 600 DPI)

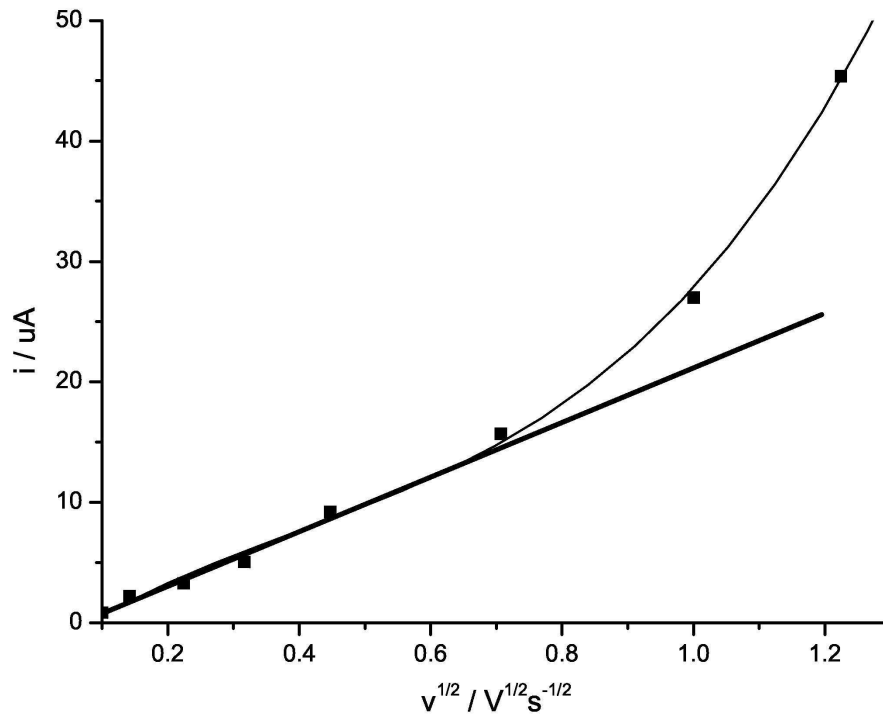


Figure 6. The dependence of cathodic peak current on scan rate for AQ- β -CD solution. Supporting electrolyte: 0.1M phosphate buffer + 25% DMF, pH 8.9.
97x81mm (600 x 600 DPI)

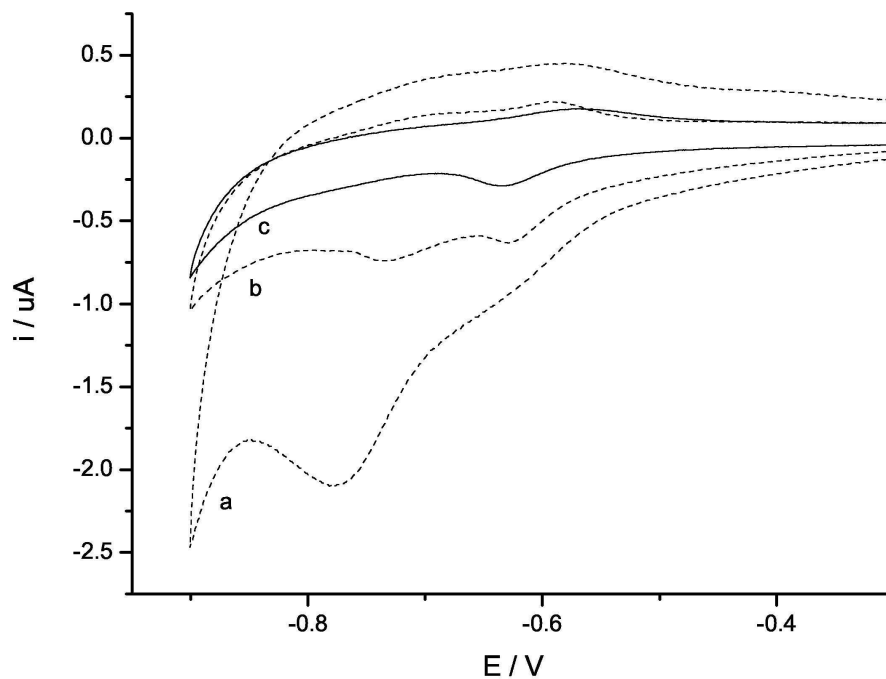


Figure 7. Cyclic voltammograms recorded using (a) gold electrode and electrode modified by: (b) mixture of N-(1-adamantane) lipoamide and hexanethiol, (c) hexanethiol, in phosphate buffer containing 25% DMF, pH 8.9. All electrodes were kept in 0.1 mM solution of mono-6-deoxy-6-thiureido-(1-anthraquinone)-per-O-methyl- β -cyclodextrin for two hours.
100x79mm (600 x 600 DPI)